Antiferroelectric Phase Evolution in Hf_xZr_{1-x}O₂ Thin Film Toward High Endurance of Non-Volatile Memory Devices

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Abstract—In this study, we experimentally and theoretically demonstrated a universal pathway of hysteresis evolution in polarization switching cycling in both antiferroelectric (AFE) and ferroelectric (FE) Hf_xZr_{1-x}O₂ (HZO) thin films. AFE films can achieve sufficient remnant polarization and high endurance by engineering the evolution process of double hysteresis merge. Based on this, we propose a new strategy for realizing high-endurance AFE films in non-volatile memory devices. Additionally, a record high endurance >10¹² on 6 nm AFE HZO under full polarization switching conditions at 4.5 MV/cm and 1 MHz is achieved to demonstrate the potential of this strategy.

Index Terms—Antiferroelectric materials, sub-loop, remnant polarization, coercive field, endurance, hafnium zirconium oxide.

I. INTRODUCTION

H FO₂-BASED ferroelectrics (FE) have attracted considerable attention since their discovery in 2011 because of their complementary metal oxide semiconductor (CMOS) compatibility, high scalability, and environmentally friendly composition. These advantage enable them to overcome critical obstacles in the application of conventional ferroelectric material in non-volatile memory (NVM) devices [1], [2]. One of the primary concerns for memory devices is endurance performance, which determines device reliability in practical applications. However, HfO₂-based FE materials show strong wake-up and fatigue effects in polarization cycling, significantly degrading the endurance performance [3], [4], [5], [6], [7], [8], [9], [10], [11], [12], [13], [14], [15], [16], [17].

Over a decade, intensive studies have focused on enhancing the endurance of memory devices. Several strategies have been proposed, including engineering of rapid thermal annealing

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(RTA) [3], [4], film deposition process [5], [6], film thickness [7], interface [8], [9] and electrodes [10], [11]. In some cases, it was found that an AFE-like characteristics appeared pristinely and it waked up to FE one for FE-HZO films.

On the other hand, AFE-Hf_xZr_{1-x}O₂ (HZO) thin films with higher Zr concentration exhibit higher endurance with respect to their FE counterparts. This is considered to be benefit from uniform phase distribution and an insignificant increase in the leakage current during cycling in the AFE case [18], [19]. Generally, AFE films are not suitable for non-volatile storage because they have no remnant polarization at zero voltage. Recently, a couple of approaches to tuning the material properties have been proposed to materialize its high-endurance strength in NVM, such as using its sub-loop by engineering a built-in field or making an AFE/FE bilayer [12], [20], [21], [22], [23].

In this study, we found a universal transition from AFE to FE characteristics numerically and theoretically during polarization switching cycling in both typical AFE and FE $Hf_xZr_{1-x}O_2$ films. This correlates with the special wake-up effect in FE films with the AFE system. Based on this, a new approach is proposed to materialize the high endurance of AFE films by engineering the transition process. This approach achieves sufficient P_r and high-field operations. Furthermore, we demonstrate high endurance of $> 10^{12}$ under 4.5 MV/cm with $2P_r > 15 \ \mu C/cm^2$ on a 6 nm $Hf_{0.2}Zr_{0.8}O_2$ thin film, which is a record high number in HZO systems, considering the full polarization switching conditions. Our results provide a guide-line for the high endurance of FE-NVM design, particularly for the application of AFE film in the NVM system.

II. EXPERIMENTAL

Typical AFE and FE $Hf_xZr_{1-x}O_2$ metal-ferroelectric-metal (MFM) capacitors with x = 0, 0.2, or 0.5, were fabricated and characterized. The key process flow is shown in Fig. 1(a). After RCA cleaning, 30 nm TiN films were deposited by reactive magnetron sputtering on a highly doped Si wafer followed by 6 or 15 nm $Hf_xZr_{1-x}O_2$ film deposition by atomic layer deposition (ALD) at 250°C. The precursors and oxidant were TEMAHf, TEMAZr, and H₂O. Then, 30 nm TiN and 30 nm W were deposited by sputtering as top electrodes and patterned using photo-lithography and a lift-off process. Finally, the HZO capacitors were post-metallization annealed (PMA) at 550°C in N₂ ambient for 30 s.

The cross-sectional transmission electron microscope (TEM) images of typical FE and AFE capacitors with 6 nm $Hf_xZr_{1-x}O_2$ films are shown in Fig. 1(b). Additionally, the crystalline structure of the $Hf_xZr_{1-x}O_2$ films was characterized

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Fig. 1. (a) Process flow of $H_{f_X}Zr_{1-x}O_2$ MFM capacitors with x = 0, 0.2, 0.5. (b) TEM images of typical FE and AFE capacitors with 6 nm $H_{f_X}Zr_{1-x}O_2$. (c) Switching time of 6 nm $H_{f_{0,2}}Zr_{0.8}O_2$ film after 10⁹ cycles wake-up.



Fig. 2. I-E and P-E loops of 6 nm (a) ZrO_2 (b) $Hf_{0.2}Zr_{0.8}O_2$ (c) $Hf_{0.5}Zr_{0.5}O_2$ films during cycling.

using synchrotron-based grazing incidence X-ray diffraction (GIXRD) at 10 keV. Keithley 4200A-SCS was used to perform the electrical tests. Polarization-field (P-E) and current-field (I-E) tests were performed at 10 kHz while endurance tests were performed at 1 MHz with a field of 4.5 MV/cm. Note that the conditions of the endurance tests ensure full polarization switching as shown in Fig. 1(c).

III. RESULTS AND DISCUSSION

Fig. 2 shows I-E and P-E loops measured on 6 nm AFE ZrO_2 , $Hf_{0.2}Zr_{0.8}O_2$ and FE $Hf_{0.5}Zr_{0.5}O_2$ films. AFE-like to FE (AFE-FE) evolution as a wake-up effect is observed in 10⁶ polarization switching cycling for the FE $Hf_{0.5}Zr_{0.5}O_2$ device, as reported in the literature. Although AFE characteristics are maintained in $Hf_{0,2}Zr_{0,8}O_2$ films at the end of the cycling, the positions of two sub-loops are closed with each other, and Pr increases during the cycling. A similar merging of two sub-loops was observed in the ZrO₂ film. We also observed a similar trend in 15 nm films with three types of Zr contents (not shown). These results indicate that a similar evolution in polarization switching cycling occurs in both typical FE and AFE films. Note that the P_r in ZrO_2 film remains unchanged and a relatively high operation field (6 MV/cm) is required for the ZrO₂ device, indicating that it become difficult to obtain AFE-FE evolution with higher Zr content.

To obtain a quantitative analysis of the evolution process in AFE and FE films, the coercive fields of each sub-loop, E_1/E_2 for positive and E_3/E_4 for negative, marked in Fig. 2(a), are extracted and plotted as a function of cycles in Fig. 3. The coercive fields of each sub-loop approach to that of another are indicated by the change in $(E_1+E_2-E_3-E_4)/2$. Interestingly, the relative position of the coercive field for each sub-loop (values of E_1-E_2 and E_3-E_4) remains almost unchanged.

It is well-known that AFE film is mainly with tetragonal (T) phase while polarization properties in FE films is associated with orthorhombic (O) phase for $Hf_xZr_{1-x}O_2$ mater-



Fig. 3. Coercive fields of 6 nm (a) ZrO_2 (b) $Hf_{0.2}Zr_{0.8}O_2$ (c) $Hf_{0.5}Zr_{0.5}O_2$ films during cycling.



Fig. 4. GIXRD results of 6 nm $Hf_xZr_{1-x}O_2$ films (x = 0, 0.2, 0.5).

ial [23]. And a reversible T-O phase transition in an electric field has been proposed as the origin of the AFE characteristics [24]. We also performed GIXRD characterization on the films with three types of Zr concentrations discussed above. The results in Fig. 4 show that monoclinic (M) phases are mixed with T/O phases in 6 nm $Hf_{0.5}Zr_{0.5}O_2$ film, whereas ZrO_2 and $Hf_{0.2}Zr_{0.8}O_2$ films may be mainly with the T phase in the pristine state. The results are consistent with those reported.

Based on the above analysis, an energetic analysis was performed using the Landau-Ginzburg-Devonshire (LGD) equation as follows:

$$G(P) = \frac{\alpha}{2}P^2 + \frac{\beta}{4}P^4 + \frac{\gamma}{6}P^6 - EP$$
(1)

where α , β , γ are Landau expansion coefficients [21], [25]. We assume that the AFE characteristics are due to a reversible T-O transition, which is case for first-order phase transitions [24]. Namely, $\beta < 0$ and $\gamma > 0$ [26]. Therefore, the P-E loops are derived from G'(P) = 0. Additionally, switching fields are derived from G'(P) = 0 and G"(P) = 0.

Fig. 5 shows the generated G-P and P-E curves by varying α with fixed β and γ . When $0 \le \alpha < 9\beta^2/20\gamma$, G'(P) = 0 and G''(P) = 0 have four real solutions and three energy wells. In this case, typical AFE characteristics are obtained and we assume three energy wells are corresponding to the $O\uparrow$, T and $O\downarrow$ phases, respectively, as shown in Figs. 5(a)-(f). Accordingly, there are four switching fields $(E_1/E_2/E_3/E_4)$ and two sub-loops in P-E curve. With α decreasing, the energy of three potential wells changes relatively. Namely, the energy of O phase lowers relative to that of T phase. Correspondingly, two sub-loops in P-E characteristics close to each other. This is similar to the results shown in Fig. 2. Besides, there is a special case as shown in Figs. 5(e) and (f), where an AFE-like shape in the energy curve and an FE-like shape in the P-E characteristics exist simultaneously. Here, the T-O \downarrow phase transition (E₁) may occur immediately after the O⁺-T transition (E₄) because $E_4 \ge E_1$, indicating that,



Fig. 5. Gibbs free energy (G(P)) and P-E loops extracted from G'(P) = 0 of (a)(b) AFE, (c)(d) AFE-FE, (e)(f) FE (AFE) and (g)(h) typical FE materials with/without external field (E).



Fig. 6. Landau expansion coefficients of 6 nm ZrO₂, Hf_{0.5}Zr_{0.5}O₂ and Hf_{0.2}Zr_{0.8}O₂ films during cycling. (a) α , (b) β , (c) γ .

interestingly, FE hysteresis can be explained not only by negative α , as shown in Figs. 5(g) and (h).

Note that only a decrease in α leads to an increase in E_1 - E_2 as shown in Fig. 5, which is inconsistent with the almost unchanged E_1 - E_2 as shown in Fig. 3. Several studies have reported that material changes can affect three Landau coefficients simultaneously [27], [28]. Therefore, we suspect that β and γ are also changed during cycling.

To more intuitively understand this, α , β , γ were extracted by substituting the experimental value of $E_1/E_2/E_3/E_4$ into G'(P) = 0, G''(P) = 0 and assuming G'(P_s) = 0, where P_s is the saturation polarization. The results in Fig. 6(a) show that α decreases during cycling, which is consistent with the above theoretical analysis. Furthermore, $|\beta|$ and γ decrease during cycling. Glinchuk reported that the Landau coefficients were related to the average concentration of oxygen vacancies [28]. Additionally, Schenk proposed that the merge-like wakeup process in HfO₂-based FE film due to the redistribution of charged defects, such as oxygen vacancies [29]. We considered that the change in the Landau expansion coefficients and constant E_1 - E_2/E_3 - E_4 may be due to the redistribution of charged defects, such as oxygen vacancies.

Concerning application of aforementioned AFE/FE films in NVM devices, both high endurance and sufficient remnant



Fig. 7. (a) Endurance characteristics of $Hf_{0.5}Zr_{0.5}O_2$ and $Hf_{0.2}Zr_{0.8}O_2$ films. (b) Benchmark of HZO in terms of endurance and effective lifetime.

polarization $(2P_r > 10 \ \mu C/cm^2)$ are required. Thus, it is possible to use the high-endurance strength of AFE by engineering the evolution process to obtain sufficient P_r in conjunction with maintaining basic AFE characteristics. Namely, a new method for combining the advantages of high P_r of FE and high endurance of AFE is achievable. Either early breakdown due to too high operation field, as in the ZrO₂ case, or full transition to FE characteristics, as in the Hf_{0.5}Zr_{0.5}O₂ case, can degrade endurance performance, so both should be suppressed.

To verify this strategy, the endurance performance of the aforementioned films was characterized at 4.5 MV/cm and 1 MHz, as shown in Fig. 7(a). Compared to the 10^{10} endurance performance of a typical 6 nm FE film, 10¹¹ and 10^{12} cycles are achieved using 15 and 6 nm AFE films, respectively. Additionally, $2P_r > 10 \ \mu C/cm^2$ is achieved after 10^8 cycles. Because no breakdown and obvious fatigue are observed for the 6 nm AFE film even after 10¹² cycles, its intrinsic endurance should be higher. This result demonstrates the proposed method's potential for increasing endurance. Note that the breakdown performance of AFE devices is also better than that of FE, and it is even better for thinner AFE films. The FE film breakdown has been reported to be related to M phase generation [15]. And it is well known that M phase formation in pristine films is suppressed by decreasing film thickness or increasing the doping concentration. Therefore, it is understandable that the AFE film with a suitable concentration of T phase will not only slow-down the evolution process but also delay the film breakdown.

In addition, $>10^{12}$ endurance and corresponding $>10^{6}$ s effective lifetime are record high values considering the full switching conditions with relatively high field and low frequency, as compared in Fig. 7(b). The endurance of HZO could be much more over-estimated under high frequencies or low electrical fields because polarization is switched partially.

IV. CONCLUSION

In conclusion, a universal evolution from AFE to FE characteristics in both typical AFE and FE HZO film composition has been confirmed by experimental and theoretical analysis of the change in hysteresis loops. The evolution could be due to the change in relative energy between the T and O phases caused by repeated electric field cycling. High endurance with sufficient P_r can be achieved by controlling the evolution process of AFE film. Based this, a new method for incorporating AFE film in NVM is proposed and a record high endurance >10¹² on 6-nm-thick Hf_{0.2}Zr_{0.8}O₂ with full polarization switching under high field was demonstrated.

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